

For Information	
Public/Non Public*	Public
Report to:	Force Executive Board
Date of Meeting:	9th March 2017
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Agenda Item:	07

Strategic Risk Management Report for Force and NOPCC, Quarter 4, 2016/17

1. Purpose of the Report

- 1.1 To provide the Joint Audit and Scrutiny Panel (JASP) with an up to date picture of strategic risk management across the Force and OPCC.

2. Recommendations

- 2.1 JASP notes the current approach to strategic risk management and considers the assurance that this report provides as to the effectiveness of those arrangements within the Force and OPCC.
- 2.2 JASP acknowledges the closure of the Force's risk relating to the use of Regulation A19. At the Court of Appeal the Force were found to have acted lawfully and the officers' cases were not successful.
- 2.3 JASP notes the proposed new risk relating to the Emergency Services Network, which is a replacement for the current system used by emergency services. The project continues to be managed, with direct links to the OcIP and national programme. Slippage from December 2017 to no earlier than June 2018 has been declared.
- 2.4 JASP acknowledges the second new risk relating to issues around the quality of data input into Force systems. More investigative work is to be undertaken led by the Superintendent in Corporate Development and a detailed paper will be fed into the Data Quality Board on the way forward.

3. Reasons for Recommendations

- 3.1 A Strategic Risk Report is provided to the JASP quarterly in order to keep the Board informed as to the level of strategic risk within the Force and NOPCC and provide assurance as to the effectiveness of risk management arrangements.

4. Summary of Key Points

Risk management policy and process

- 4.1 The Force and the NOPCC previously agreed a joint policy for the management of risk, in line with the Cabinet Office approved Management of Risk (M_o_R) approach.
- 4.2 Due to the recent restructure within Corporate Development and the creation of the Corporate Governance and Business Planning Team, the current risk management arrangements within the Force and NOPCC have been reviewed to see whether they are fit for purpose. The proposed way forward was presented to JASP in December 2016.

5 Financial Implications and Budget Provision

- 5.1 There are no direct financial implications as a result of this report. Financial implications as a result of each risk will be assessed and managed on an individual basis.

6 Human Resources Implications

- 6.1 Providing professional advice on risk management is the responsibility of the Corporate Governance and Business Planning team.
- 6.2 General responsibility for managing risk forms an integral part of the job descriptions of individuals throughout the Force.

7 Equality Implications

- 7.1 There are no known equality implications associated with the implementation of the Risk Management Policy.
- 7.2 Where a particular risk is identified that could have an impact on the Force's equality objectives that risk will be assessed and managed in line with the Risk Management Policy.

8 Risk Management

- 8.1 One of the main aims of the Risk Management Policy is to achieve consistent application of risk management principles and techniques across all areas of the Force and NOPCC.
- 8.2 If the Force and NOPCC do not practice effective risk management within their decision making there is a risk of non-compliance with the principles set out in the Joint Code of Corporate Governance.

9 Policy Implications and links to the Police and Crime Plan Priorities

- 9.1 An understanding and appreciation of strategic risk is important in determining the priorities in the Police and Crime Plan, and subsequently informing the development of effective strategies, policies and plans to address those priorities. It is expected that the implementation of the Risk Management Policy will lead to improved understanding of strategic risk and therefore impact positively on the achievement of Police and Crime Plan objectives.

10 Changes in Legislation or other Legal Considerations

- 10.1 Where potential changes in legislation or other legal considerations represent a significant threat or opportunity for the Force or the NOPCC these are evaluated and managed in line with the Risk Management Policy.

11 Details of outcome of consultation

- 11.1 Each Strategic Risk has been assessed with the relevant risk owner and the DCC and Chief Executive of the NOPCC, respectively.

12. Appendices

Appendix 1 – Force Strategic Risk Register, 2016/17 Quarter 4

Appendix 2 – NOPCC Strategic Risk Register, 2016/17 Quarter 4