

Appendix 1: Audit and Inspection Status Report Quarter 4 2014/15

On target	On target to deliver within constraints, including target completion date, budget and resource allocated. It is also anticipated that any expected efficiency savings will be met. No further action required at this time.
At risk	Actions will be off target within one month and / or are unlikely to be completed by the end date.
Off target	Target date and / or other constraints such as budget or available resource have been exceeded, or it is anticipated that an expected efficiency saving will not be met. Issue to be highlighted to the Portfolio Board and corrective action sought to meet business objectives.

Current audits and inspections

COT Portfolio	Title	Scrutiny Body	Audit, Inspection or Review	Total number of actions	Actions				
					On target	At risk of being off target*	Off target	Proposed for Closure	Closed
ACC Specialist Services	Domestic Abuse	HMIC	Inspection	8	1				7
ACC Local Policing	Core Business: Making the Best Use of Police Time	HMIC	Inspection	8	5				3
ACC Local Policing	Crime Data Integrity (November 2014)	HMIC	Inspection	5					5
ACC Specialist Services	Crime Recording (May 2014)	HMIC	Inspection	5	1				4
ACC Specialist Services	Crime Recording: Making the Victim Count (November 2014)	HMIC	Inspection	2	1				1
ACC Specialist Services	Strategic Policing Requirement	HMIC	Inspection	2	2				
ACC Specialist Services	Interim Crime Inspection	HMIC	Inspection	2			1		1

COT Portfolio	Title	Scrutiny Body	Audit, Inspection or Review	Total number of actions	On target	At risk of being off target*	Off target	Proposed for Closure	Closed
ACC Specialist Services	National Child Protection (Nottinghamshire)	HMIC	Inspection	7	6	1			
ACC Specialist Services	Undercover Policing	HMIC	Inspection	1	1				
ACC Local Policing	Stop and Search Powers 2	HMIC	Inspection	2				2	
DCC	Police Integrity & Corruption	HMIC	Inspection	3	1	1		1	
ACO Resources	Business Continuity & IT Disaster Recovery Planning	Baker Tilly	Audit	3	2		1		
ACO Resources	Information Management Arrangements	Baker Tilly	Audit	3	3				
ACO Resources	Absence Management	Baker Tilly	Audit	5	5				
ACO Resources	Payments and Creditors	Baker Tilly	Audit	2					2
				58	28	2	2	3	23

Recent audits and inspections

COT Portfolio	Title	Description	Date	Force Lead	Status
ACO Resources	Baker Tilly: Key Financial Controls	Annual audit of key financial controls.	6th – 20th October 2014.	Andrea Naylor	Draft report received. Awaiting confirmation of Force response.
ACC Local Policing	Baker Tilly: Volunteering	The review will consider how the use of volunteers is aligned to Force Priorities and linked to the requirements of Divisions and Force departments.	27th – 29th October 2014	Ch Insp Richard Stapleford	Awaiting final report.
ACO Resources	Follow Up	To meet the IIA Standards and to provide management with ongoing assurance regarding implementation of recommendations.	w/c 19th January 2015	Julie Mair	Final report received. In process of agreeing actions with Force leads.

Forthcoming audits and inspections

COT Portfolio	Title	Description	Date	Force Lead	Planning and Policy SPOC
Regional	Regional Organised Crime Unit (ROCU) Inspection	Inspection of regional organised crime units as part of Effectiveness element of PEEL	May - June	Det Supt Andy Dickin	Vijayshree Appa / Beverly Topham
ACC Specialist Services	Vulnerability	Fieldwork to answer Core question 3 of the Effectiveness element of PEEL	June - July 2015	TBC	Vijayshree Appa / Beverly Topham
ACC Specialist Services	Honour Based Violence	Phase 2; 8 forces to be selected for inspection	22 June 2 August 2015	Supt Helen Chamberlain	Vijayshree Appa / Beverly Topham
Regional	Joint Emergency Services Interoperability Programme(JESIP) Tri-Service Review	Inspection to be led and hosted by EMOpSS	June - July 2015	Ch Supt Chris Haward	Vijayshree Appa / Beverly Topham
ACC Specialist Services	Police Response to Online Child Sexual Exploitation*	An additional inspection to update HMIC's original report on 21st Century Child Exploitation inspection (October 2013).	TBC	Supt Helen Chamberlain	Vijayshree Appa / Beverly Topham
Regional	HMIC: Unannounced Custody Inspection**	Part of the rolling programme of unannounced custody inspections.	TBC	Ch Supt Julia Debenham	Vijayshree Appa / Beverly Topham
ACC Specialist Services	HMIC: Digital Crime and Policing Inspection	To be scoped.	TBC	Supt Mark Pollock	Vijayshree Appa / Beverly Topham