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| <b>Consideration</b>      |  |
| <b>Public/Non Public*</b> | <b>Public</b>  |
| <b>Report to:</b>         | <b>Joint Audit and Scrutiny Panel</b>                  |
| <b>Date of Meeting:</b>   | <b>30<sup>th</sup> June 2016</b>                       |
| <b>Report of:</b>         | <b>Chief Finance Officer</b>                           |
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| <b>Agenda Item:</b>       | <b>16</b>  |

## **RISK REGISTERS – EXTERNAL REVIEW**

### **1. Purpose of the Report**

- 1.1 Attached at Appendix A is a review undertaken by KPMG of Local Authority Risk Registers.

### **2. Recommendations**

- 2.1 Members are requested to
- Consider this report in conjunction with the Risk Register report the next item on this agenda.
  - Consider any further detail they may require in obtaining assurance relating to risks, their mitigation and the management of.

### **3. Reasons for Recommendations**

- 3.1 This complies with the principles of good governance and risk management.

### **4. Summary of Key Points**

- 4.1 Members of this Panel have regularly received reports on the corporate risks identified by the PCC and CC.
- 4.2 The purpose of these reports is to identify all significant corporate risks and how they are being managed/mitigated.
- 4.3 Members need to be assured that all significant corporate risks have been captured and that there are robust plans to manage the risks.
- 4.4 The report at Appendix A highlights 3 national “top risks”:
- Delivering the medium term financial plan/savings targets/delivering funding etc
  - Business continuity/disaster recovery incidents/emergency planning
  - Data loss/information security/information governance risks.

4.5 Within the Nottinghamshire Risk Registers the above risks are identified as:

- Reference:
- Reference:
- Reference:

4.6 Assurance can be obtained in that the main risk relating to financial planning is identified as our highest risk. This Panel regularly receives updated reports on financial performance and management.

4.7 The risks relating to Information Security and Business Continuity are being well managed mitigated against. Business continuity has specifically been reported on in the last 12 months to this Panel.

## **5. Financial Implications and Budget Provision**

5.1 None as a direct result of this report.

## **6. Human Resources Implications**

6.1 None as a direct result of this report.

## **7. Equality Implications**

7.1 None as a direct result of this report.

## **8. Risk Management**

8.1 This report identifies the most significant corporate risks nationally and provides assurance that the CC and PCC have included these within the joint risk register to ensure the risks are managed and mitigated against.

## **9. Policy Implications and links to the Police and Crime Plan Priorities**

9.1 The identification and management of all risks corporate and operational ensures that the police and crime priorities are achieved.

## **10. Changes in Legislation or other Legal Considerations**

10.1 None

## **11. Details of outcome of consultation**

11.1 None

## **12. Appendices**

A – Local Authority Corporate Risk Register Analysis (KPMG)