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| For Information | |
| Public/Non Public* | Public |
| Report to: | Joint Audit and Scrutiny Panel |
| Date of Meeting: | 29th May 2019 |
| Report of: | Deputy Chief Constable |
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| Agenda Item: | 11 |

Strategic Risk Management Report for Force and Nottinghamshire Police and Crime Commissioner (NOPCC), Quarter 1, 2019/20

1. Purpose of the Report

- 1.1 To provide the Joint Audit and Scrutiny Panel (JASP) with an up to date picture of strategic risk management across the Force and the Nottinghamshire Office of the Police and Crime Commissioner (NOPCC).

2. Recommendations

- 2.1 It is recommended that the JASP notes the current approach to strategic risk management and considers the assurance that this report provides as to the effectiveness of those arrangements within the Force and NOPCC.
- 2.2 JASP note the three very high strategic risks on the Force's risk register namely, Multi-Force Shared Service (MFSS) transfer of payroll system to the new Fusion solution, compliance with the new General Data Protection Regulations (GDPR), and the building of the new Bridewell custody facility.
- 2.3 In relation to the Force's Strategic risk register, JASP note the addition of one new medium risk, Stabilisation of the new Force model and the accompanying mitigation.
- 2.4 JASP also note the high risks relating to the NOPCC, Multi-Force Shared Service (MFSS) transfer of payroll system to the new Fusion solution, the uncertainty in relation to the announcement of the comprehensive spending review and the police funding formula, and the risk relating to having no agreed funding in place for sexual violence support service in the county.
- 2.5 JASP note accompanying Appendix 3 – which outlines more in-depth explanations of the mitigation in place in relation to the Force's very high level strategic risks.

3. Reasons for Recommendations

- 3.1 A Strategic Risk Report is provided to the JASP on a quarterly basis in order to keep the Board informed as to the level of strategic risk within the Force and NOPCC and provide assurance as to the effectiveness of risk management arrangements.

10 Changes in Legislation or other Legal Considerations

- 10.1 Where potential changes in legislation or other legal considerations represent a significant threat or opportunity for the Force or the NOPCC these are evaluated and managed in line with the Risk Management Policy.

11 Details of outcome of consultation

- 11.1 Each Strategic Risk has been assessed with the relevant risk owner and the DCC and Chief Executive of the NOPCC, respectively.

12. Appendices

- 12.1 **Appendix 1** – Force Strategic Risk Register
Appendix 2 – NOPCC Strategic Risk Register
Appendix 3 – Mitigation to Force's Strategic Risks

NOTTINGHAMSHIRE POLICE CORPORATE RISK REGISTER - APRIL 2019

| RISK | | MITIGATION OF RISK | | ASSESSMENT | | | |
|--|---|--|--|-------------|-----------------------------------|------------|--|
| Risk / Objective | Description and Owner | Impact | Strategy and Assurances | Probability | Unmitigated / Current Risk Impact | Risk Score | Commentary and Review date |
| works for local people | | - Government penalties | and define an action plan | | | ▲ | Review date: Ongoing |
| SR6 Information Management & Data Quality Engage our communities | Poor data quality compliance impacts upon understanding of crime patterns, the identification and referral of vulnerable people and public confidence in crime recording. Owner:- Deputy Chief Constable | - Reputation / public confidence - Delivery failure - Ineffective planning and problem solving - Government penalties | Treat Audit Committee / Internal Audit FCIR Review meetings HMICFRS Inspection Annual Assurance Statement | 4 | 4 | 16 | HMICFRS Crime Integrity Inspection highlighted a number of areas for concern. These are being reviewed and addressed within force in the Crime Data Integrity meeting chaired by ACC Local Policing Review date: Ongoing |
| SR7 Analytical capacity and capability Engage our communities | Lack of force and partner agency analytical capacity impacting on provision of analytical products and assurance reports Owner:- Deputy Chief Constable | - Force and partners inability to direct resources according to need; identify emerging risks; assess impact of interventions; provide accountability - Reputation / public confidence risk | Treat Forward planning on the development of key analytical products. Direct access to intranet-based tools and functionality. | 4 | 3 | 12 | Ongoing liaison with heads of Intelligence and Management Information. Departmental restructure and recruitment underway. Proposals to enhance 'self-service' functionality are being progressed. Review date: Ongoing |
| | | | | 3 | 3 | 9 | |

NOTTINGHAMSHIRE POLICE AND CRIME COMMISSIONER CORPORATE RISK REGISTER - APRIL 2019

| RISK | | | MITIGATION OF RISK | | ASSESSMENT | | |
|---|---|--|--|-------------|--------------------------------------|------------|---|
| Risk / Objective | Description and Owner | Impact | Strategy and Assurances | Probability | Unmitigated / Current Risk Impact | Risk Score | Commentary and Review date |
| SR6 Financial uncertainty Transforming Services and Delivering Quality Policing | Delays and uncertainty in the government announcement of comprehensive spending review. Delays in the review of the police funding formula Owner: Chief Finance Officer Organisation: OPCC | <ul style="list-style-type: none"> - Ineffective planning - Instability of key services - Impact on service delivery - Reputational / public confidence impact | Treat Engagement with central government, APCC/NPCC Police Finance workstream Police Reform and Transformation Board | 3 | 3 | 9 | Engagement with central government, APCC/NPCC Police Finance workstream Police Reform and Transformation Board. Review date: Ongoing |
| SR7 Information Management & Data Quality Tackling crime and ASB | Poor data quality compliance impacts upon understanding of crime patterns, the identification and referral to vulnerable people and public confidence in crime recording. Owner: Head of Performance & Assurance Organisation: OPCC | <ul style="list-style-type: none"> - Reputation / public confidence - Delivery failure - Ineffective planning and problem solving - Government penalties | Transfer Audit Committee / Internal Audit FCIR Review meetings HMICFRS Inspection Annual Assurance Statement | 3 | 3 | 9 | HMICFRS Crime Integrity Inspection highlighted a number of areas for concern. Indicative NCRS compliance for 2018/19 is strong with rates in excess of 95%. Ongoing regular oversight from the OPCC. Review date: Ongoing |
| SR8 Significant gaps in analytical capacity and capability Tackling Crime and ASB | Lack of force and partner agency analytical capacity impacting on provision of analytical products and assurance reports Owner: Head of Research and Information Organisation: OPCC | <ul style="list-style-type: none"> - Force and partners inability to direct resources according to need; identify emerging risks; assess impact of interventions; provide accountability - Reputation / public confidence risk | Treat Forward planning on the development of key OPCC analytical products. Direct OPCC access to intranet-based tools and functionality. Development of independent assurance mechanisms such as the Police and Crime Survey | 3 | 2 | 6 | Ongoing liaison with heads of Intelligence and Management Information. Departmental restructure and recruitment underway. Proposals to enhance 'self-service' functionality are being progressed. County researchers appointed and operational. Review date: Ongoing |

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|--|--|---|---|---|---|---------|--|
| SR8 Custody Provision Create a service that works for local people | Building of new Bridewell to provide a more appropriate and effective custody function Owner:- ACC Local Policing | Reputation / public confidence - Performance / delivery risks - Poor assessment / inspection outcomes | Treat New custody provision being managed through a project chaired by ACC Local Policing. Regular inputs are also made into Force Executive Board | 4 | 4 | 16 | New custody provision being managed through a project chaired by ACC Local Policing. Regular inputs are also made into Force Executive Board Review date: Ongoing |
| | | | | 4 | 4 | 16 ▲ | |

The new custody suite project is progressing towards the planning application stage with the general layout agreed allowing accurate costings to be prepared. Work is ongoing to complete the surveys necessary for planning above and beyond those carried out before the purchase of the land, for example into the accident data for the vicinity of the new build over the last three years. An agreement has been reached with the Environment Agency regarding the works that are needed to address the contaminated ground water and Willmott Dixon have obtained three quotations for the work which will commence ahead of the main contract. The site itself has been cleared and levelling work will be undertaken shortly. At the same time hoarding and fencing will be erected. The project is progressing in line with the anticipated programme.