

NOTTINGHAMSHIRE POLICE AND CRIME COMMISSIONER CORPORATE RISK REGISTER - DECEMBER 2021

RISK			MITIGATION OF RISK	ASSESSMENT			
Risk / Objective	Description and Owner	Impact	Strategy and Assurances	Unmitigated / Current Risk			Commentary and Review date
				Probability	Impact	Risk Score	
SR1 (0002) Business continuity: COVID-19 Transforming Services and Delivering Quality Policing	Business continuity risks associated with COVID-19, including changes in OPCC working arrangements on information security, effective practice and staff welfare. Owner: Chief Executive Organisation: OPCC	- Failure to deliver core statutory duties - Staff welfare - Reputation / public confidence risk - Government penalties	Individual service-level risk registers. OPCC representation on Gold and Silver Command Groups. Increased agile working. Wider use of tele conferencing. Scheme of delegation reviewed. Regular pro-active communications.	4	4	16	Ongoing oversight and proactive communication. Absence rates remain low and the office maintains core business as usual via revised working arrangements. Information security reminders have been issued to all staff in the wake of the COVID-19 pandemic and transition to routine agile working. Review date: Ongoing
				3	3	9	
SR2 (0003) Business continuity: MFSS Transforming Services and Delivering Quality Policing	Business continuity risks associated with MFSS transfer to inhouse provision in April 2020 and on-going future service provision by MFSS. Owner: Chief Finance Officer Organisation: OPCC	- Workforce confidence / morale - Service delivery - Reputation / public confidence risk	Ongoing oversight via MFSS Management Board and Strategic Oversight Board. There is an internal transition group to manage the change in contractors by 2022.	4	3	12	Internal project team managing the transition is working well. the problem will be the loss of experienced staff within MFSS and the impact that this will have on BAU and the next year end. Ongoing oversight via MFSS Management Board, Strategic Oversight Board and internal Force governance processes. Ongoing data accuracy issues being identified and resolved. Review date: Ongoing
				2	3	6	
SR3 (0004) Financial Incapability Transforming Services and Delivering Quality Policing	Force unable to achieve a balanced budget, required efficiency savings and contribution to reserves Owner: Chief Finance Officer Organisation: OPCC	- Insolvency - Govt. mandation / penalties - Reputation / public confidence - Performance / delivery risks - Poor assessment / inspection outcomes	Budget parameters set by PCC Medium Term Financial Planning Monthly budget monitoring meetings Weekly PCC / CC meetings Escalation process Active OPCC and Force CFO discussion	4	4	16	Ongoing oversight via monthly budget monitoring meetings and weekly PCC/CC briefing meetings Active OPCC and Force CFO discussion. Estimated year end overspend of £65k. Review date: Ongoing
				2	3	6	
SR4 (0013) Level of risk-assessed reserves Transforming Services and Delivering Quality Policing	Inability to respond to critical unforeseen risk due to a lack of prudent risk-assessed reserves Owner: Chief Finance Officer Organisation: OPCC	- Govt. mandation / penalties - Reputation / public confidence - Performance / delivery risks - Poor assessment / inspection outcomes	Medium Term Financial Planning Monthly budget monitoring meetings Weekly PCC / CC meetings Escalation process Active OPCC and Force CFO discussion	3	4	12	Nottinghamshire maintains one of the lowest levels of reserves when compared to other Police forces / OPCCs nationally. Risk continues to be monitored via monthly budget meetings and weekly PCC/CC briefing meetings, alongside ongoing active OPCC and Force CFO discussions. Anticipated overspend and slippage in force repayment of risk-assessed reserves. Review date: Ongoing
				2	3	6	
SR5 (0001) Delivery of critical multi-agency services	Impact of ending COVID-19 related supplementary national funding for domestic abuse, sexual violence and substance misuse in 2022 and 2023. Owner: Head of Commissioning	- Substantial reduction in services' capacity to support survivors - Survivors are further harmed - Reputation / public	Joint co-commissioning meetings with partners to exit plan for the funding. Fortnightly/monthly updates from commissioned services to monitor service	3	3	9	£18.m new MoJ funding secured for 2021-22 and 2022-23. This has created 17 new specialist posts plus additional capacity in other DSVAs services. Partners have provided assurance that DA services will be protected despite budgetary pressures.
				2	3	6	

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Cross-cutting risk	Organisation: OPCC	confidence - Relationship with partners	uptake & trends. Make a case to MOJ for national funding to continue if it's required.			◀▶	Review date: Ongoing
SR6 (0006) Financial uncertainty	Delays and uncertainty in the government announcement of comprehensive spending review. Delays in the review of the police funding formula. Impact of brexit and COVID-19 on public sector funding. Owner: Chief Finance Officer Organisation: OPCC	- Ineffective planning - Instability of key services - Impact on service delivery - Reputational / public confidence impact	Treat Engagement with central government, APCC/NPCC Police Finance workstream Police Reform and Transformation Board Extra budget meetings scheduled early 2021	3	3	9	Indication of settlement figures in Autumn statement will enable effective planning for 2022-23 and the following 2 years. Funding Formula review is promised within this elected period. The intended 3 year settlement is welcomed despite a likely tough settlement. Ongoing engagement through PACCTS with central government, APCC/NPCC Review date: Ongoing
Transforming Services and Delivering Quality Policing				2	3	6	
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SR7 (0007) Service sustainability / making best use of resources	Delivery and sustainability of outcomes as a result of significant short term national investment in Serious Violence Reduction. Owner: Director of VRU Organisation: OPCC	- Instability of key services and programmes - Reputational / public confidence impact P&C Plan commissioning intentions affected	Transfer Ongoing project management Proactive engagement with communities PCC chairs VRU Board	3	3	9	Ongoing development and delivery of the VRU. Proactive engagement with key partners. Detailed spending plans developed. Increased assurance of further Home Office investment Review date: Ongoing
Tackling crime and ASB				2	2	4	
						◀▶	
SR8 (0008) Information Management: Data Quality	Poor data quality compliance impacts upon understanding of crime patterns, the identification and referral fo vulnerable people and public confidence in crime recording. Owner: Head of Performance & Assurance Organisation: OPCC	- Reputation / public confidence - Delivery failure - Ineffective planning and problem solving - Government penalties	Transfer Audit Committee / Internal Audit FCIR Review meetings HMICFRS Inspection Annual Assurance Statement	3	3	9	Overall indicative NCRS compliance remains strong (>95%). Reporting issues following transition to the 'SAFE' system have been largely resolved. Further assurance required in respect of crime and incident flagging (e.g. alcohol, cyber, vulnerability) and capture of self-defined ethnicity. Ongoing regular oversight by OPCC and HMICFRS Review date: Ongoing
Tackling crime and ASB				2	2	4	
						◀▶	
SR9 (0014) Limited inhouse accounting expertise	Austerity and restructuring within the force finance team has led to a reliance on staff with limited professional experience with local government accountancy. Owner: Chief Finance Officer Organisation: OPCC	- Insufficient resource leading to the accounts being qualified - Temporary appointments leading to lack of consistency - Reputation / public confidence risk	Treat Active participation in the CIPFA AFEP programme has provided a way forward. This has improved the Force Finance Team structure and its standing within the Force. Training for Finance apprentices is in place.	3	4	12	Austerity and restructuring within the force finance team has led to a reliance on staff with limited professional experience with local government accountancy. the appointment of a CIPFA qualified accountant with experience has proved invaluable. Opportunity now exists to recruit CIPFA trainees with other authorities locally. Review date: Ongoing
Transforming Services and Delivering Quality Policing				3	2	6	
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SR10 (0010)	Lack of force and partner agency analytical	- Force and partners inability to direct resources according	Treat	3	2	6	Ongoing liaison with heads of Intelligence and Management Information. Plans to streamline

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Limited analytical capacity and capability Tackling Crime and ASB	capacity impacting on provision of analytical products and assurance reports Owner: Head of Performance & Assurance Organisation: OPCC	to need; identify emerging risks; assess impact of interventions; provide accountability - Reputation / public confidence risk	Forward planning on the development of key OPCC including VRU analytical products. Direct OPCC access to intranet-based tools and functionality. Development of independent assurance mechanisms such as the Police and Crime Survey. Partnership analytical roles readvertised.	5	2	12	partnership assessment processes underway. Proposals to enhance 'self-service' functionality are being progressed. Reduced capacity and frequency of strategic intelligence products, control strategy, MoRiLE assessment and Home Office commissions. Review date: Ongoing
							