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| **For Information** | |
| **Public/Non-Public** | Public |
| **Report to:** | Joint Audit and Scrutiny Panel (JASP) |
| **Date of Meeting:** | April 2022 |
| **Report of:** | Deputy Chief Constable |
| **Report Author:** | Amanda Froggatt, Head of Corporate Development  Laura Spinks, Force Assurance Lead |
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| **Other Contacts:** | n/a |
| **Agenda Item:** | **8** |

**Audit and Inspection Update**

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| 1. **Purpose of the Report** |

* 1. To provide the Joint Audit and Scrutiny Panel (JASP) with an update on progress against recommendations arising from audits and inspections which have taken place during Quarter 4, 2021/22.
  2. To inform the Board of the schedule of planned audits and inspections.

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| 1. **Recommendations** |

* 1. That the Panel notes the status of audits and inspections carried out over the last quarter.
  2. That the Panel reviews Appendices 1 and 2 and, if required, request further detail which will be reported at the next meeting.

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| 1. **Reasons for Recommendations** |

* 1. To enable the Panel to fulfil its scrutiny obligations with regard to Nottinghamshire Police and its response to audits and inspections.
  2. To provide the Panel with greater scrutiny opportunities and to reach more informed decisions.
  3. To provide the Panel with the opportunity to shape the focus and data inputs for future HMICFRS inspections.

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| 1. **Summary of Key Points** |

**Audit and Inspection Action Updates**

* 1. The actions referred to in this report are the result of recommendations made by Nottinghamshire Police’s internal auditors and external inspectorates, including HMICFRS.
  2. There are currently 0 actions which have exceeded their target date.
  3. The Workforce Planning Audit was scheduled for 31st January 2022. However, it was agreed that because the 2020/21 audit received a satisfactory audit opinion after the 2021/22 audit plan was approved, it would be removed from the 2021/22 plan.

4.3 Recent and forthcoming Inspections are detailed below.

**Table 1.1: Recent Inspection Activity**

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| --- | --- | --- | --- | --- |
| **Date of Inspection** | **Inspection Area** | **Date Report Received** | **Final Grading** | **Status** |
| July 2021 – March 2022 | Integrated PEEL | - | - | Report due end of April 2022 |
| February 2022 | Serious Youth Violence | - | No grading | Debrief given with a number of Areas of Improvement |
| February/March 2022 | Reality Testing for Serious and Organised Crime | - | - | Will form part of Integrated PEEL Inspection |

**Table 1.2: Forthcoming HMICFRS Inspections**

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| **Date of Inspection** | **Inspection Area** | **Status** |
| w/c 14th March 2022 (2 weeks) | Counter Corruption and Vetting (part of Integrated PEEL Inspection) | Currently taking place |
| w/c 28th March 2022 | Online Child Sexual Exploitation | Document return and questionnaire completed,  Timetable prepared. |

**Table 1.3: Publications**

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| **Date of Publication** | **Inspection Area** | **Status** |
| September 2021 | Police Response to Violence Against Women and Girls | Evidence submitted to HMICFRS for review. Awaiting feedback. |
| November 2021 | A Joint Thematic Inspection of the CJ Journey for Individuals with Mental Health Needs and Disorders | Report sent out for management updates. |
| July 2021 | A Joint Thematic Inspection of Police and Crown Prosecution Service’s Response to Rape (Part 2) | Report sent out for management updates. |

**Table 1.4: Super complaints**

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| **Date of Publication** | **Title** | **Status** |
| May 2021 | Modern Slavery: Hestia super complaint on the police response to victims of modern slavery | Force/OPCC response agreed, and updates sent to NPCC. |
| August 2021 | A Duty to Protect: Police use of protective measures in case involving violence against women and girls | Recommendations sent out for management updates. |

4.5 Recent and Forthcoming Audits

**Table 1.5: Recent Audit Activity**

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| --- | --- | --- | --- | --- |
| **Date of Audit** | **Auditable Area** | **Date Report Received** | **Final Grading** | **Status** |
| Sept 2021 | MFSS Transfer | October 2021 | Not applicable – audit purpose to provide assurance to OPCC re progress status of the project. | Final report received. No recommendations. |
| January 2022 | Business Change | February 2022 | Limited Assurance | Management comments received, awaiting publication of final report. |
| January 2022 | Health and Safety | February 2022 | Satisfactory Assurance | Management comments received, awaiting publication of final report. |
| January 2022 | Information Assurance | March 2022 | Limited Assurance | Final report received. Recommendations managed through 4Action. |
| January 2022 | GDPR | March 2022 | Limited Assurance | Final report received. Recommendations managed through 4Action. |

**Table 1.6: Forthcoming Audits**

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| **Date of Audit** | **Auditable Area** | **Status** |
| March 2022 | Seized Property | Currently taking place |
| March 2022 | Procurement | N/A |
| March 2022 | Partnerships | N/A |

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| **5. Financial Implications and Budget Provision** |

5.1 If financial implications arise from recommendations raised from audits, inspections and reviews, these implications are considered accordingly. Where an action cannot be delivered within budget provision, approval will be sought through the appropriate means.

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| **6. Human Resources Implications** |

6.1 There are no direct HR implications as a result of this report. HR implications resulting from specific actions will be managed on a case-by-case basis.

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| **7. Equality Implications** |

7.1 There are no direct HR implications as a result of this report. HR implications resulting from specific actions will be managed on a case-by-case basis.

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| **8. Risk Management** |

8.1 Some current actions involve the completion of formal reviews of specific business areas. It is possible that some or all of these reviews will identify and evaluate significant risks, which will then be incorporated into the Force’s risk management process.

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| **9. Policy Implications and links to the Police and Crime Plan Priorities** |

9.1 Any policy implications will be subject to current policy development process.

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| **10. Changes in Legislation or other Legal Considerations** |

10.1 There are no direct legal implications as a result of this report.

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| **11. Details of outcome of consultation** |

11.1 Following receipt of a final audit or inspection report a member of the Governance and Planning team consults with the appropriate Lead Officer and other stakeholders to plan appropriate actions in response to each relevant recommendation, or to agree a suitable closing comment where no action is deemed necessary.

11.2 All planned actions are added to the action planning system, 4Action, for management and review until completion.

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| **12. Appendices** |

12.1 Appendix A - Overview of all ongoing actions and recommendations from Inspections

12.2 Appendix B – Overview of all ongoing recommendations from internal audits.

12.3 Appendix C – Overview of all ongoing recommendations arising from Super Complaints.