

<b>For Information</b>	
<b>Public/Non Public*</b>	<b>Public</b>
<b>Report to:</b>	<b>Joint Audit and Scrutiny Panel</b>
<b>Date of Meeting:</b>	<b>September 2016</b>
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<b>Agenda Item:</b>	<b>12</b>

## **Strategic Risk Management Report for Force and OPCC, Quarter 1 2016/17**

### **1. Purpose of the Report**

- 1.1 To provide the Joint Audit and Scrutiny Panel (JASP) with an up to date picture of strategic risk management across the OPCC and Force.

### **2. Recommendations**

- 2.1 JASP notes the current approach to strategic risk management and considers the assurance that this report provides as to the effectiveness of those arrangements within the Force.
- 2.2 JASP acknowledges the closure of the Forces financial risk for 2015/16 due to the budget end and the new risk relating to the Force achieving its financial savings during 2016/17. A full risk assessment has been undertaken by the Temp Head of Finance and the risk will be mitigated by an action plan reported monthly to the Force Executive Board. Please see Appendix 2, page 9, for further detail of new risks.
- 2.3 JASP notes second new risk relating to 'Resourcing the proposed Target Operating Model'. Currently the rate of leavers is exceeding the anticipated glide path and whilst this provides confidence in meeting financial targets, it presents risks in terms of operational effectiveness.
- 2.4 JASP notes proposed revision of the Joint Risk Management Policy and Procedure with a view to presenting to the meeting in December 2016.

### **3. Reasons for Recommendations**

- 3.1 A Strategic Risk Report is provided to the JASP biannually in order to keep the Panel informed as to the level of strategic risk within the Force and OPCC and provide assurance as to the effectiveness of risk management arrangements.

#### **4. Summary of Key Points**

##### **Risk management policy and process**

- 4.1 The Force and the NOPCC previously agreed a joint policy for the management of risk, in line with the Cabinet Office approved Management of Risk (M\_o\_R) approach.
- 4.2 Due to the recent restructure within Corporate Development and the creation of the Corporate Governance and Business Planning Team, a decision has been taken to review the current risk management arrangements within Force and OPCC and whether they are fit for purpose. It is proposed that a way forward should be presented in a paper to JASP in December 2016.

#### **5 Financial Implications and Budget Provision**

- 5.1 There are no direct financial implications as a result of this report. Financial implications as a result of each risk will be assessed and managed on an individual basis.

#### **6 Human Resources Implications**

- 6.1 Providing professional advice on risk management is the responsibility of the Corporate Governance and Business Planning team.
- 6.2 General responsibility for managing risk forms an integral part of the job descriptions of individuals throughout the Force.

#### **7 Equality Implications**

- 7.1 There are no known equality implications associated with the implementation of the Risk Management Policy.
- 7.2 Where a particular risk is identified that could have an impact on the Force's equality objectives that risk will be assessed and managed in line with the Risk Management Policy.

#### **8 Risk Management**

- 8.1 One of the main aims of the Risk Management Policy is to achieve consistent application of risk management principles and techniques across all areas of the Force and NOPCC.
- 8.2 If the Force and NOPCC do not practice effective risk management within their decision making there is a risk of non-compliance with the principles set out in the Joint Code of Corporate Governance.

## **9 Policy Implications and links to the Police and Crime Plan Priorities**

- 9.1 An understanding and appreciation of strategic risk is important in determining the priorities in the Police and Crime Plan, and subsequently informing the development of effective strategies, policies and plans to address those priorities. It is expected that the implementation of the Risk Management Policy will lead to improved understanding of strategic risk and therefore impact positively on the achievement of Police and Crime Plan objectives.

## **10 Changes in Legislation or other Legal Considerations**

- 10.1 Where potential changes in legislation or other legal considerations represent a significant threat or opportunity for the Force or the NOPCC these are evaluated and managed in line with the Risk Management Policy.

## **11 Details of outcome of consultation**

- 11.1 Each Strategic Risk has been assessed with the relevant risk owner and the DCC and Chief Executive of the OPCC, respectively.

## **12. Appendices**

- 12.1 **Appendix I** – OPCC Strategic Risk Register, 2016/17 Quarter 1  
**Appendix 2** – Force Strategic Risk Register, 2016/17 Quarter 1