

Appendix 1: Current and forthcoming audits and inspections, Quarter 2 2016/17

Current Audits and Inspections

Date Report Received	Scrutiny Body	Title	Status
February 2016	HMIC	PEEL - Legitimacy	Actions captured and being monitored on 4action.
February 2016	HMIC	PEEL - Effectiveness	Actions captured and being monitored on 4action.
February 2016	HMIC	National Child Protection Inspection. Post Inspection Review 3rd-7th August 2015.	Actions captured and to be monitored on 4action.
February 2016	HMIC	Force Leadership Statement.	Actions captured and being monitored on 4action.
November 2016	HMIC	Spring Inspection 2016: Legitimacy, Leadership and Efficiency.	Recommendations are out for management decision.
tba	HMIC	Autumn Inspection 2016: Effectiveness	Awaiting final publication
tba	HMIC	Counter Terrorism Command and Control (CT2)	Inspection dates 8th - 10th November 2016
April 2016	CJJI	Delivering Justice in a Digital Age.	Actions captured and being monitored on 4action.

January 2016	MAZARS	Credit Cards-Light review	Actions captured and being monitored on 4action.
May 2016	MAZARS	Commissioning-Community Safety.	Actions captured and being monitored on 4action.
May 2016	MAZARS	HR Establishment	Actions captured and being monitored on 4action.
June 2016	MAZARS	DMS	Actions captured and being monitored on 4action.
July 2016	MAZARS	Social Value Impact	Actions captured and being monitored on 4action.
18th July 2016	MAZARS	Core Financials	Due to the limited assurance opinion given as a consequence of the 2015/16, it was agreed that Mazars would carry out a specific follow-up of the recommendations during the summer, with the more traditional planned audit booked for later in the year.
8th August 2016	MAZARS	Data Protection and Compliance Act	Actions captured and being monitored on 4action.
17th October 2016	MAZARS	Savings Programme follow up	Actions captured and being monitored on 4action.
Not applicable.	MAZARS	Audit Follow up	Draft report issued.
Not applicable.	MAZARS	Overtime Payments Analysis	Draft report issued to C. Radford.

Not applicable.	MAZARS	Procurement -Follow up	Recommendations are out for management decision.
Not applicable.	MAZARS	Effective Audit and Scrutiny	Draft report issued to C. Radford.

Forthcoming Audits, Inspections and Reports

Date	Scrutiny Body	Title	Update
tba	MAZARS	Risk Management	Awaiting Terms of Reference
tba	MAZARS	POCA-Light Review	Draft Terms of Reference received.

Audit and inspection thematic reports

Date Report Received	Scrutiny Body	Title	Update
September 2015	HMIC	In Harms Way. The Role of the Police in keeping children safe	Recommendations are out for management decision.
March 2016	HMIC	Missing children: who cares? The police response to missing and absent children.	Actions captured and being monitored on 4action.
March 2016	IPCC	Police use of force: evidence from complaints, investigations and public perception.	Actions captured and being monitored on 4action.

April 2016	HMIC	The tri-service review of the Joint Emergency Services Interoperability Principles (JESIP)	Actions captured and being monitored on 4action.
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