

For Information	
Public/Non Public	Public
Report to:	Audit and Scrutiny Panel
Date of Meeting:	15 December 2014
Report of:	ACO Resources
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Agenda Item:	11

AUDIT AND INSPECTION

1. Purpose of the Report

- 1.1 To provide the Force Executive Board with an update on the status of audits and inspections taking place in Force. This report also informs the Board of expected future audits and inspections.

2. Recommendations

- 2.1 That the Board notes the progress made against audit and inspection recommendations.
- 2.2 That the Board takes note of forthcoming audit and inspections.

3. Reasons for Recommendations

- 3.1 To enable the Board to fulfil its scrutiny obligations to oversee and consider Force arrangements to deliver against audits and inspections.

4. Summary of Key Points

- 4.1 The actions outlined in this report are the result of recommendations made by the Force's internal auditor Baker Tilly and external inspectorates, such as Her Majesty's Inspectorate of Constabulary (HMIC). They are managed through the Force Activity Plan process and reported at the Chief Officer Portfolio Boards on a monthly basis.
- 4.2 Appendix 1 'Audit, Inspection and Review Status Report Quarter 2' gives a summary of current, recent and forthcoming audits, inspections and reviews taking place in force.
- 4.3 Appendix 2 outlines the actions arising from audits and inspections that are off target, at risk of being off target, closed, on target and new actions added in Quarter 2.

4.4 **Overdue actions** - There are currently no actions showing as overdue.

Please see appendix 2 for the most recent updates and proposed completion dates.

4.5 **Recent Audits and Inspections.**

See appendix 1.

4.6 **Forthcoming audits and inspections**

See appendix 1.

4.7 A number of audit reports have recently been received and actions need to be agreed for these, there are also a number of reports due. All of these reports will be scrutinised and where necessary actions agreed and added to the Force Activity Plan.

5. Financial Implications and Budget Provision

5.1 There are no direct financial implications. If financial implications arise from recommendations raised from audits, inspections and reviews these implications are considered accordingly. Where an action cannot be delivered within budget provision, approval will be sought through the appropriate means.

6. Human Resources Implications

6.1 There are no direct HR implications. Where an audit or inspection has Human Resources implications, these will be managed through the Force Activity Plan.

7. Equality Implications

7.1 There are no direct equality implications. Any equality implications which arise from an audit or inspection recommendation will be managed on an individual basis.

8. Risk Management

8.1 There is a risk to Force reputation, if mandatory or agreed actions identified as a result of audit or inspections are not completed. The degree of risk will depend on the nature of the audit or inspection and will be assessed on a case by case basis.

9. Policy Implications and links to the Police and Crime Plan Priorities

9.1 Where an audit or inspection recommends changes to Force Policy or Strategy, those changes will be managed through the Force Activity Plan.

10. Changes in Legislation or other Legal Considerations

10.1 Where an audit or inspection relates to a change in Legislation or other legal considerations, those changes will be managed through the Force Activity Plan.

11. Details of outcome of consultation

11.1 This process for monitoring audits, inspections and reviews has been agreed by the Chief Officer Team (COT).

11.2 Action owners are consulted through the action monitoring process.

12. Appendices

12.1 Appendix 1: Audit and Inspection Status Report Q2

Appendix 2: Actions arising from audits and inspections that are off target, at risk of being off target, proposed for closure, on target and new actions added in Quarter 2.