

Appendix 1: Quarter 3 Audit and Inspection Status Report

On target	On target to deliver within constraints, including target completion date, budget and resource allocated. It is also anticipated that any expected efficiency savings will be met. No further action required at this time.
At risk	Actions will be off target within one month and / or are unlikely to be completed by the end date.
Off target	Target date and / or other constraints such as budget or available resource have been exceeded, or it is anticipated that an expected efficiency saving will not be met. Issue to be highlighted to the Portfolio Board and corrective action sought to meet business objectives.

Current audits and inspections

COT Portfolio	Title	Scrutiny Body	Audit, Inspection or Review	Total number of actions	Actions				
					On target	At risk of being off target*	Off target	Proposed for Closure	Closed
ACC Specialist Services	Domestic Abuse	HMIC	Inspection	8	2			3	3
ACC Local Policing	Core Business: Making the Best Use of Police Time	HMIC	Inspection	8	8				
ACC Local Policing	Crime Data Integrity (November 2014)	HMIC	Inspection	5	5				
ACC Specialist Services	Crime Recording (May 2014)	HMIC	Inspection	5	5				
ACC Specialist Services	Crime Recording: Making the Victim Count (November 2014)	HMIC	Inspection	4	4				
ACC Specialist Services	Strategic Policing Requirement	HMIC	Inspection	1	1				
ACO Resources	Partnerships Governance	Baker Tilly	Audit	1					1
ACO Resources	Environmental Policy	Baker Tilly	Audit	1					1
ACO Resources	Workforce Planning	Baker Tilly	Audit	4					4
ACO Resources	Business Continuity & IT Disaster Recovery Planning	Baker Tilly	Audit	2	1				1
ACO Resources	Payments and Creditors	Baker Tilly	Audit	1				1	
				40	26	0	0	4	10

*Actions will be off target within one month and/ or are unlikely to be completed by the original target date.

Recent audits and inspections

COT Portfolio	Title	Description	Date	Force Lead	Status
ACC Specialist Services	HMIC: Interim Crime Inspection	An interim inspection that will concentrate on the effectiveness of forces at cutting crime and is designed to support forces to improve the way they reduce, prevent and investigate	31st September - 3rd October 2014	Det Ch Supt Jebb	Final report received. Activity arising out of recommendations to be captured.
ACO Resources	HMIC: Valuing the Police 4 Revisit	Re-inspection following recommendations from original March 2014 VTP4 Inspection.	16th October 2014	Supt Mark Holland	Recommendations implemented.
ACO Resources	Baker Tilly: Budgetary Control, Payroll and Expenses, Cash, Banking and Treasury Management, Fixed Assets and Insurance.	Part of the annual audit of key financial controls.	6th – 20th October 2014.	John Gordon	Awaiting draft report.
ACC Local Policing	Baker Tilly: Volunteering	The review will consider how the use of volunteers is aligned to Force Priorities and linked to the requirements of Divisions and Force departments.	27th – 29th October 2014	Ch Insp Stapleford	Awaiting draft report.
ACO Resources	Baker Tilly: General Ledger, Income and Debtors, Payments and Creditors	Part of the annual audit of key financial controls.	w/c 24th November 2014.	John Gordon	Awaiting draft report.
ACO Resources	Follow Up	To meet the IIA Standards and to provide management with ongoing assurance	w/c 19th January 2015	Julie Mair	Awaiting draft report.

Forthcoming audits and inspections

COT Portfolio	Title	Description	Date	Force Lead	Planning and Policy SPOC
ACC Specialist Services	Police Response to Online Child Sexual Exploitation*	An additional inspection to update HMIC's original report on 21st Century Child	TBC	Supt Helen Chamberlain	Vijayshree Appa / Beverly Topham
ACC Specialist Services	HMIC: Unannounced Custody Inspection**	Part of the rolling programme of unannounced custody inspections.	TBC	Paul Saint	Vijayshree Appa / Beverly Topham
ACC Specialist Services	HMIC: Digital Crime and Policing Inspection	To be scoped.	January - March 2015	Supt Mark Pollock	Vijayshree Appa / Beverly Topham
ACC Local Policing	Baker Tilly: Victims Code of Compliance	To provide assurances over compliance with the new Victims Code.	w/c 5th January 2015	Ch Insp Murphy	Vijayshree Appa / Beverly Topham
ACO Resources	Baker Tilly: Governance – Delivery of Police and Crime Plan	To provide assurances that the Police and Crime Plan is being delivered as expected.	w/c 12th January 2015	Charlotte Radford	NA
ACC Local Policing	Baker Tilly: Partnerships	The review will provide assurance that the Force is maintaining its robust partnership links but in addition provide assurance that there is a mechanism in place for measuring outcomes for their investment in time, money and effort.	w/c 2nd March 2015	Supt Paul Burrows	Vijayshree Appa / Beverly Topham
ACO Resources	Baker Tilly: Corporate Governance/ Policy Making	This will include a review of the governance around policy making and policy decision to ensure a transparent and accountable decision making process is in place.	2014/15 Q4	Charlotte Radford	NA
ACO Resources	Baker Tilly: Scrutiny Panel Follow up	The review will provide assurance that the recommendations from the Scrutiny Panel reviews have been appropriately addressed and implemented or alternatively the resource can be used to assist the Scrutiny Panel with any particular views.	W/C 16th February 2015	Charlotte Radford	NA

* This is a thematic inspection. HMIC are yet to confirm whether Nottinghamshire will be visited as part of this inspection.

** Unannounced inspection, the Force will be informed on the Thursday prior to the fieldwork commencing.