

# **Nottinghamshire Office of the Police & Crime Commissioner & Nottinghamshire Chief Constable**

[Internal Audit Progress Report](#)

Audit Committee meeting: 12<sup>TH</sup> February 2015

## Introduction

The internal audit plan for 2014/15 was approved by the Joint Audit & Scrutiny Panel in June 2014. This report provides an update on progress against that plan and summarises the results of our work to date.

### Summary of Progress against the Internal Audit Plan

The table below provides a progress summary of the reports that have been finalised, in draft or are work in progress. There are no fundamental issues to report to the Committee that may impact on our annual Head of Internal Audit opinion at this time.

Assignment <i>Reports considered today are shown in italics</i>	Status	Opinion	Actions Agreed (by priority)		
			High	Medium	Low
<b>Audits to address specific risks</b>					
Information Management Arrangements	Final Report	Advisory	-	8	2
<i>Information Security – Disaster Recovery</i>	<i>Final Report</i>	<i>Amber/Green</i>	-	2	3
Commissioning	Q4				
Governance – Delivery of Police & Crime Plan	In progress				
Partnerships	Fieldwork planned to commence 02/03/2015				
Policy Review	As and When				
Scrutiny Panel	Fieldwork planned to commence 16/02/2015				
Crime Recording Follow Up	Q4				
Volunteering	Draft Report issued				
Regional HR – Training & Skills	Refer to comments included in the Change Control section				
Victims Code of Compliance	Draft Report issued				
Key Financial Controls	Draft Report issued				
Forensics Support Scientific Support	Refer to comments included in the Change Control section				

Assignment <i>Reports considered today are shown in italics</i>	Status	Opinion	Actions Agreed (by priority)		
			High	Medium	Low
Financial Regulations	Refer to comments included in the Change Control section				
Corporate Governance / Policy Making	Refer to comments included in the Change Control section				
Follow Up	Draft Report issued				
Regional Review	The scope has been agreed				

### Other Matters

**Planning and Liaison:** We have met with management to discuss the progress of the 2014/15 audit plan.

We have commenced our regional collaboration assurance review and it is intended that the outcome of this review will be reported to the next meeting.

### Internal Audit Plan 2014/15 - Change Control:

Action <i>Changes considered today are shown in italics</i>	Date	Agreed By
The regional HR Training & Skills audit has been requested to be deferred until 2015/16. However, it is intended to utilise the allocation for this review to complete the regional review (with Northamptonshire Police) on System Licensing.	September 2014	To be agreed by the Joint Audit & Scrutiny Panel – September 2014
We had an allocation for Financial Regulations & Corporate Governance, but this has not been required. Instead, the allocation will be utilised to complete regional work around the Assurance Framework for Collaborations.	December 2014	To be agreed by the Joint Audit & Scrutiny Panel – December 2014
We had an allocation for Forensics – Scientific Support, but this has not been required. Instead, the allocation will be utilised to complete some audit work around grants and grant allocations within Force.	December 2014	To be agreed by the Joint Audit & Scrutiny Panel – December 2014

The matters raised in this report are only those which came to our attention during our internal audit work and are not necessarily a comprehensive statement of all the weaknesses that exist, or of all the improvements that may be required. Whilst every care has been taken to ensure that the information provided in this report is as accurate as possible, based on the information provided and documentation reviewed, no complete guarantee or warranty can be given with regard to the advice and information contained herein. Our work does not provide absolute assurance that material errors, loss or fraud do not exist.

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