

JOINT AUDIT AND SCRUTINY PANEL WORK PLAN

11 February 2016			
1	(43) Risk report on monitoring and actions for mitigation update	6 monthly	OPCC & Force
2	(35) Force Governance monitoring, assurance and improvement outcomes for decision making	6 monthly	
3	(45) Business Continuity compliance and assurance of testing and exercising plan lessons learned	Annually	Force
4	(46) Publication Scheme monitoring, review and assurance	Annually	OPCC
5	(51) & (49) Information Management Policy monitoring, assurance and improvement outcomes. Records review, retention and disposal guidance and audit monitoring, review and assurance	Annually	Force
6	Revenue Budget Management report	Annually	OPCC
7	4 Year Capital Plan	Annually	OPCC
8	Treasury Management Report	Annually	OPCC
9	Update on survey of Police Audit Committee chairs		
	Standard items:-		
	Updates on scrutiny and other reviews	As required	OPCC & Force
	PCC Update report	Quarterly	OPCC
	(12) & (40) Internal Audit Progress Report	Quarterly	OPCC CFO

	(40) Audit & Inspection Report	Quarterly	ACO Resources
30 June 2016			
1	Election of Chair		
2	(5) IPCC investigations, recommendations and actions (October - March)	6 monthly	Force
3	(36) Force Improvement Activity Lessons Learned monitoring, IPCC lessons learned report (April – September)	6 monthly	Force
4	(6) & (7) Whistle Blowing Policy and review of compliance (October - March) and Anti-Fraud and Corruption Policy - review of compliance update (October - March)	6 monthly	Force & OPCC
5	(35) Force Governance monitoring, assurance and improvement outcomes for decision making	6 monthly	Force
6	External Audit Annual Audit letter	Annually	OPCC CFO
7	Verbal update on regional assurance work	Annually	OPCC CFO
8	(11) Draft Audit Plan (Annual internal audit strategy and audit plan)	Annually	
9	(10) & (42) Force, PCC and Regional draft Annual Governance Statements	Annually	OPCC & Force
10	(39) Internal Audit Annual Assurance and Performance Report	Annually	OPCC CFO
11	(23 & 24) Statement of Accounts and Summary Statement of Accounts - DRAFT	Annually	OPCC & Force
12	Police and Crime Plan 6 month monitoring report	6 monthly	OPCC
	Standard items:-		
	Updates on scrutiny and other reviews	As required	OPCC & Force
	PCC Update report	Quarterly	OPCC
	(12) & (40) Internal Audit Progress Report	Quarterly	OPCC CFO

	(40) Audit & Inspection Report	Quarterly	ACO Resources
15 September 2016			
1	(23 & 24) Statement of Accounts and Summary Statement of Accounts	Annually	OPCC & Force
2	(10 & 42) Annual Governance Statements	Annually	OPCC & Force
3	External Audit – Annual Governance report	Annually	OPCC CFO
4	(43) Risk report on monitoring and actions for mitigation update	6 monthly	OPCC & Force
5	(35) Force Governance monitoring, assurance and improvement outcomes for decision making	6 monthly	Force
6	Regional Collaboration Update	Annually	Force
7	HMIC Inspections and Recommendations	Annually	OPCC
	Standard items:-		
	Updates on scrutiny and other reviews	As required	OPCC & Force
	PCC Update report	Quarterly	OPCC
	(12) & (40) Internal Audit Progress Report	Quarterly	OPCC CFO
	(40) Audit & Inspection Report	Quarterly	ACO Resources